

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: GlaxoSmithKline Biologicals S.A.

Application No./Patent No.: 09/701,711 Filed/Issue Date: July 27, 2001

Entitled: IMMUNOGENIC COMPOSITION

GlaxoSmithKline Biologicals S.A. a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011894, Frame 0363, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

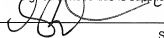
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

Edward R. Gimmi

Printed or Typed Name

6/13/08  
\_\_\_\_\_  
Date

(610) 270-4478

Telephone Number

Attorney and Authorized Official for GlaxoSmithKline Biologicals S.A.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

I, **JAMES KERR MILLIGAN**, of the City of London, England, Notary Public, by Royal Authority duly admitted and sworn

**DO HEREBY CERTIFY AND ATTEST**

**THAT** the document hereunto annexed is a true and faithful photographic copy of an original document this day produced to me and duly returned.

**IN WITNESS WHEREOF** I have hereunto set my hand and affixed my seal of office in the City of London aforesaid this twelfth day of May Two thousand and three.



**James Kerr Milligan**  
Notary Public of London, England



## CERTIFICATE

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I, Edwin van Laethem, Notary, rue Capitaine Crespel 16, Ixelles, Belgium, hereby certify that the change of name of the private company SMITHKLINE BEECHAM BIOLOGICALS S.A. into GLAXOSMITHKLINE BIOLOGICALS S.A. which was approved by the General Shareholders Meeting held before me on 1<sup>st</sup> March 2001 does in no way affect the legal entity whose all rights, obligations and particulars other than the registered name remain unchanged.

Done in Ixelles, this 21<sup>st</sup> August 2002.





GlaxoSmithKline

## POWER OF ATTORNEY

GlaxoSmithKline  
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www.gsk-bio.com

**BY THIS POWER OF ATTORNEY** given this 4<sup>th</sup> day of September two thousand and seven **GLAXOSMITHKLINE BIOLOGICALS SA**, a company incorporated in Belgium (Registration No. RPM Nivelles 0440.872.918) and having its registered office at Rue de l'Institut 89 B-1330 Rixensart, Belgium, (hereinafter called "the Company"), **HEREBY** appoints **SHERRY M. KNOWLES, ARTHUR W. R. TYRRELL, MARCUS J. W. DALTON, PETER J. GIDDINGS, EDWARD R. GIMMI** and **FRANK P. GRASSLER** jointly and severally to be its true and lawful agents and attorneys (hereinafter called "the Attorneys," and each an "Attorney") on behalf and in the name of the Company or otherwise to do, perform, exercise or execute or concur with any other person or persons in doing, performing or exercising in or for any country or countries or jurisdiction in any part of the world all or any of the following powers, acts, deeds and things in connection with: letters patent, including extensions thereto (including supplementary protection certificates and the like); utility models; copyrights; trademark registrations; trademarks; trade names; trade dress; logos; design rights; designs and all rights analogous thereto and all applications therefor and any other forms whatsoever of intellectual property rights; including know-how, all of which are hereinafter called "Intellectual Property Rights", that is to say:

1. In any country or countries or jurisdiction in any part of the world to make application or cause application to be made for the grant or issue or transfer to the Company or registration in its name of Intellectual Property Rights and to take all steps necessary for the same to be prosecuted, maintained, withdrawn, renewed, enforced, defended or extended.
2. As the act and deed of the Company to sign, seal, deliver and execute all or any assignments or assurances, licences to the Company of or under any Intellectual Property Rights or the right to and interest in any inventions to be the subject of Intellectual Property Rights for the purpose of fully and effectually vesting and transferring the same in and to the Company.
3. As the act and deed of the Company to sign and execute all or any assignments and acceptances of the transfer or assignment of such rights, and also any licences, sub-licences and consents from the Company of or under any Intellectual Property Rights or the right to and interest in any invention to be the subject of Intellectual Property Rights, for the purpose of fully and effectually vesting transferring or granting the same in and to any entity, whether in the United Kingdom or elsewhere, in so far as such documents can be executed without the Company's seal being affixed thereto. For purposes of this Power of Attorney, the terms "entity" means, and includes, any person, firm or company or group of persons or unincorporated body.

4. To give undertakings or assurances to third parties and to any Trademark Registry or official intellectual property agency or governmental department or otherwise responsible for the registration or protection of trademarks, trade names, trade dress, logos, design rights or designs for the purpose of best protecting or ensuring the co-existence of the Company's rights to trademarks, trade names, trade dress, logos, design rights or designs.
5. To commence, prosecute and defend any proceedings or applications whether judicial or extra judicial relating to Intellectual Property Rights and to maintain, withdraw or settle the same.
6. For and in connection with any Intellectual Property Rights to sign, seal, deliver and execute any Power of Attorney or other deed or document authorising any agent, including trademark and patent agents and attorneys, to act on behalf of the Company.
7. To apply for the registration, amendment or cancellation of user rights in respect of any trademark or trade name.
8. To act in regard to all official communications which may now or hereafter be addressed to the Attorneys relating to Intellectual Property Rights or the renewal thereof in such manner that the Attorneys may be recognised as the authorised agent(s) of the Company in all proceedings in relation thereto.
9. For all or any of the purposes contained herein as the act and deed of the Company to sign, seal, deliver, execute and do all such documents, deeds, agreements, instruments and to do such acts as shall be requisite or may be deemed proper for or in relation to the said purposes.

It is hereby agreed that:

(a) this power of attorney shall remain in force until 31 December 2008 or (in respect of any Attorney) until his or her employment by the GlaxoSmithKline group of companies ceases, or until revocation by the Company, whichever first occurs; and

(b) in respect of any Attorney this power of attorney shall supersede and revoke with effect from the day and year first before written any power of attorney granted by the Company in favour of that Attorney covering all or some of the authorities herein contained.

**AND THE COMPANY HEREBY RATIFIES** and confirms and agrees to ratify and confirm all and whatsoever the Attorneys or any person, persons, firm or company appointed by them shall lawfully do or have done by virtue of the authorities herein contained.

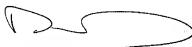
**AND THE COMPANY HEREBY DECLARES** that all instruments executed under and by virtue of this Power can be validly executed without the seal of the Company being affixed.

**IN WITNESS** whereof this Power of Attorney has been executed by **GLAXOSMITHKLINE BIOLOGICALS SA** the day and year first before written.

**GLAXOSMITHKLINE BIOLOGICALS SA**



Jean-Pierre Suin  
Director



Denis Dubru  
Director